IN THE UNITED STATES PATENT AND TRADEMARK OFFICE UTILITY PATENT APPLICATION TRANSMITTAL

(For new non-provisional applications under 37 CFR § 1.53(b))

| Atty. Dkt | . No: 5500-54701 | § |
|-----------|--------------------------------|---|
| | | § |
| Inventor(| s): | § |
| Kevi | n J. McGrath | 3 |
| Mich | nael T. Clark | 8 |
| Jame | es B. Keller | § |
| | | § |
| | | 8 |
| Title: | Establishing an Operating Mode | § |
| | in a Processor | |
| | 111 4 1 10005501 | § |
| | | § |
| | | § |
| | | 8 |
| | | § |
| | | |

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EL822011656US April 2, 2001 DATE OF DEPOSIT:

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Assistant Commissioner for Patents **Box Patent Application** Washington, DC 2023

Derrick Brown

Application Elements

1. Filing Fee

The filing fee is calculated as shown below.

| Total Claims | 33 | -20= | 13 | x \$18.00= | \$234.00 |
|------------------------------------|-----------------------|------|----|------------|----------|
| Independent | | | | | |
| Claims | 2 | -3 = | 0 | x \$80.00= | \$0.00 |
| Multiple Depen | Dependent Claims Fee: | | 0 | | |
| Basic Fee: | | | | | \$710.00 |
| Assignment Fee | | | | \$40.00 | |
| Sub-Total: | | | | \$984.00 | |
| Reduction by 50% for Small Entity: | | | | 0 | |
| Total: | | | | \$984.00 | |

Two Fee Authorization Forms totaling \$984.00 is enclosed.

The Commissioner is hereby authorized to charge any other fees which may be required or credit any overpayment to Conley, Rose, & Tayon, P.C., Deposit Account No. 501505/5500-54701/LJM.

One duplicate copy of this form is enclosed.

2. Specification

48 page(s) of specification; 5 page(s) of claims, 1 page(s) of abstract

3. Drawings

Formal Figure(s) 1-21 on 18 sheet(s)

4. Oath or Declaration

| X | Newl | lν | exe | cut | ed |
|---|------|----|-----|-----|----|
| | | | | | |

| ~ . | | 1.1 | | | |
|----------------|-------------|---------------|---------|------------|-----------|
| ('ony tro | m a prior | application (| 1000 4/ | (H R) | 1 63(4) |
| CODY IIC | ווו מ טווטו | application | | C.1 .1(.) | X 1.05(u) |

Deletion of Inventor(s) (in continuation or divisional applications):

Delete the following inventor(s) named in the prior non-provisional application:

| | The | inventor(s) | to be | deleted | are set | forth on a | signed sheet | attached hereto. |
|--|-----|-------------|-------|---------|---------|------------|--------------|------------------|
|--|-----|-------------|-------|---------|---------|------------|--------------|------------------|

| 5. The entire disclosure of the prior application referred to above is considered to be part of the |
|---|
| accompanying application and is hereby incorporated by reference herein. |
| 6. Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) |
| Computer Readable copy |
| Paper Copy (identical to computer copy) |
| Statement verifying identity of above copies |
| 8. Assignment Papers |
| 9. Power of Attorney |
| ✓ Is attached. |
| ☐ The power of attorney appears in the original papers of the prior application. |
| Since the power does not appear in the original papers, a copy of the power in the prior |
| |
| application is enclosed. 10. Information Disclosure Statement (IDS) |
| Copies of IDS Citations |
| 11. Amendments |
| A preliminary amendment is enclosed. |
| Cancel in this application claim(s) before calculating the filing fee. At least one |
| independent claim is retained for filing purposes. |
| · |
| Amend the specification by inserting before the first line the sentence: |
| 12. Return Receipt Postcard |
| 13. Small Entity Status |
| A small entity statement is enclosed. |
| A small entity statement was filed in the prior non-provisional application and such status is |
| still proper and desired. |
| ☐ Is no longer claimed. |
| 14. Priority of foreign application number, filed on is claimed under |
| 35 U.S.C. §§ 119(a)-(d) |
| 15. Petition under 37 C.F.R. § 136 for Extension of Time |
| 16. Other: Request and Certification |
| |
| Address all future correspondence to: |
| Lawrence J. Merkel |
| Conley, Rose, & Tayon, P.C. |
| P.O. Box 398 |
| Austin, Texas 78767 |
| Phone: (512) 476-1400 Fax: (512) 703-1250 |
| |
| $\mathcal{L}_{10}(M)$ |
| Signature |
| Name Lawrence J. Merkel |
| Registration No. 41,191 |
| Date April 2, 2001 |



PATENT (5500-54701/E0832CIP)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

§

§

§

§

§

§

§

Application No.: Unknown Filed: Concurrently herewith

Inventor(s):

Kevin J. McGrath Michael T. Clark James B. Keller

Title: Establishing an Operating

Mode in a Processor

Examiner: Unknown
Group/Art Unit: Unknown
Atty. Dkt. No: 5500-54701

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EL822011656US DATE OF DEPOSIT: April 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Commissioner for Patents Box Patent Application Washington, DC 20231

Derrick Brown

FEE AUTHORIZATION

Commissioner for Patents Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5500-54701/LJM:

Fee: Filing Fee Amount: \$710.00 Attorney Docket No.: 5500-54701
Fee: Excess Dep. Claims Amount: \$234.00 Attorney Docket No.: 5500-54701

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5500-54701/LJM.

Respectfully submitted,

Lawrence J. Merkel Reg. No. 41.191

Conley, Rose & Tayon, P.C. P.O. Box 398 Austin, TX 78767-0398 (512) 476-1400

Date: April 2, 2001

09/824890 09/824890 04/02/01

PATENT (5500-54701/E0832CIP) IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

Unknown

Filed:

Concurrently herewith

Inventor(s):

Kevin J. McGrath Michael T. Clark James B. Keller

Title:

Establishing an Operating

Mode in a Processor

§

§

§

§

§

§

§

§

Examiner: Group/Art Unit: Unknown Unknown

Atty. Dkt. No:

5500-54701

CERTIFICATE OF EXPRESS MAIL UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EL822011656US DATE OF DEPOSIT:

April 2, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Commissioner for Patents **Box Patent Application** Washington, DC 20231

Derrick Brown

FEE AUTHORIZATION

Commissioner for Patents Washington, D.C. 20231

The Commissioner is hereby authorized to charge the following fee to Conley, Rose & Tayon, P.C. Deposit Account Number 501505/5500-54701/LJM:

Fee: Assignment Fee

Amount: \$40.00

Attorney Docket No.: <u>5500-54701</u>

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Conley, Rose & Tayon, P.C. Deposit Account Number 501623/5500-54701/LJM.

Respectfully submitted,

Reg. No. 41,191

Conley, Rose & Tayon, P.C. P.O. Box 398 Austin, TX 78767-0398 (512) 476-1400

Date: April 2, 2001